

Serial No. 09/911,969 Confirmation No. 4744 Notice of Allowance Date: February 5, 2004

Docket No. 3479-4000US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yukio Kawamura et al.

Group Art Unit: 1642

Patent No.: U

US 6,762,296 B2

Examiner:

L. Helms

Issued:

July 13, 2004

For:

ANTITUMOR PROTEIN AND GENE ENCODING SAME

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT

Mail Stop Petition Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached in duplicate is Form PTO-1050, with at least one copy being suitable for printing.

The error was the fault of the Patent and Trademark Office, no fee is required.

The error was not the fault of the Patent and Trademark Office.

The Commissioner is hereby authorized to charge the fee of \$100.00 and any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. <u>13-4500</u>, Order No. <u>3479-4000US1</u>. A DUPLICATE

COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: September 22, 2005

By:

Sybil A. Lombillo

Registration No. 54,280

Correspondence Address:

MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, NY 10281-2101 (212) 415-8700 Telephone (212) 415-8701 Facsimile

09/27/2005 MAHMED1 00000087 134500 6762296

01 FC:1811 872786 100.00 DA

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(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NUMBER

6,762,296 B2

DATED

July 13, 2004

INVENTOR(S)

KAWAMURA, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page, at (73), change the Assignee from "Independent Administrative Food Research Institute" to - - 1) Independent Administrative Corporation Food Research Institute (JP) and 2) Momoya Co., Ltd. (JP) - -

MAILING ADDRESS OF SENDER:

Morgan & Finnegan, LLP 3 World Financial Center New York, NY 10281-2101 PATENT NO. 6,762,296 B2

No. of additional copies

 \Rightarrow

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

U.S. Patent and Trademark Office; U.S. ĎEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

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INVENTOR(S)

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No. of additional copies

 \Rightarrow

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Serial No. 09/911,969 Confirmation No. 4744 Notice of Allowance Date: February 5, 2004 Docket No. 3479-4000US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Yukio Kawamura et al.

Group Art Unit:

1642

Patent No.:

US 6,762,296 B2

Examiner:

L. Helms

Issued:

July 13, 2004

For:

ANTITUMOR PROTEIN AND GENE ENCODING SAME

EXPRESS MAIL CERTIFICATE

Mail Stop PETITION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Express Mail Label No.:

EV 498833713 US

Date of Deposit:

September 22, 2005

I hereby certify that the following attached paper(s) and/or fee

- 1. Transmittal of Petition Under 37 C.F.R. § 2.284 and Request For Certificate of Correction Under 37 C.F.R. §§ 1.322 and 1.323;
- 2. Petition Under 37 C.F.R. § 2.284 and Request For Certificate of Correction Under 37 C.F.R. §§ 1.322 and 1.323;
- 3. Two Notices of Recordation of Assignment Forms for serial no. 09/023,731 filed February 13, 1998, and serial no. 09/911,969 filed July 24, 2001;
- 4. Request For Certificate of Correction of Patent;
- 5. Two copies of Form PTO 1050; and
- 6. Return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Albert Isles

(Typed or printed name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, NY 10281-2101 (212) 415-8700 Telephone (212) 415-8701 Facsimile



09/26/05

DA-C TOWN DOCKET NO. 3479-4000US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Kawamura et al.

Serial No.:

09/911,969

Filed:

July 24, 2001

For:

ANTITUMOR PROTEIN AND GENE ENCODING SAME

ISSUE INFORMATION

U.S. Patent No.

6,762,296 B2

Issue Date

July 13, 2004

Confirmation No.

4744

Mail Stop Petition Commissioner of Patents P. O. Box 1450 Alexandria, VA 22313-1450

PETITION UNDER 37 C.F.R. § 1.183 AND REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R §§ 1.322 and 1.323

Sir:

Pursuant to the provisions of 37 C.F.R. § 1.183 and 37 C.F.R. § \$ 1.322 and 1.323 applicants respectfully request that a Certificate of Correction under 37 C.F.R. § § 1.322 and 1.323 be issued for the above-identified patent document.

Applicants inadvertently omitted the name of one assignee and made a typographical error in the name of the other assignee listed on the PTOL 85(b) form filed on April 26, 2004. The assignee omitted is **Momoya Co., Ltd.** Tokyo-To, (JP)-- as is evidenced by

the enclosed copy of the Notice of Recordation of Assignment for parent application No.

09/27/2005 MAHMED1 00000066 134500 09911969

01 FC:1462 400.00 DA

872766 vl

09/023,731, filed on February 13, 1998, now Patent No. 6,291,648. As indicated on the notice of the parent application, the assignment document was recorded on July 17, 1998 at Reel/Frame 9331/0418 and assigned to Director of National Food Research Institute, Ministry of Agriculture, Forestry, and Fisheries, Ibaraki-ken, (JP); and Momoya Co., Ltd. Tokyo-To, (JP). Moreover, as indicated on the Notice of Recordation of the divisional application, the assignee was transferred from "Director of National Food Research Institute, Ministry of Agriculture, Forestry, and Fisheries, Ibaraki-ken, (JP)" to "Independent Administrative Corporation Food Research Institute, Ibaraki-ken, (JP)". The assignment document for the divisional patent was recorded on March 14, 2002 at Reel/Frame 012745/0402. In form PTOL-85, Applicants inadvertently omitted the word, "Corporation" in the name of the new assignee. Applicants respectfully submit that these errors were clerical errors and were therefore inadvertent.

Applicants hereby request the provisions of 37 C.F.R. 3.81(a) be waived to permit the correction of the assignees after the issuance of the patent and further request grant of this Petition and issuance of a Certificate of Correction under 37 C.F.R. §§ 1.322 and 1.323. Enclosed is a Form PTO 1050 indicating our request for correction of the assignees names.

Te Patent Office is authorized to charge for \$130.00 for the required petition fee under 37 C.F.R. § 1.17(h) and any additional fees which may be required by this paper to Deposit Account 13-4500, Order No. 3479-4000US1.

It is respectfully requested that this Petition be granted and a Certificate of

Correction be issued to applicants to correct the errors noted on Form PTO 1050.

Favorable and early consideration is respectfully requested.

By:

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: September 22, 2005

Cribi

Sybil A/Lombillo

Registration No. 54,280

Mailing Address:

MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, NY 10281-2101 (212) 415-8700 (Telephone) (212) 415-8701 (Facsimile)



10154

OCTOBER 07, 1998

345 PARK AVENUE NEW YORK, NY

MORGAN & FINNEGAN, L.L.P. KENNETH H. SONNENFELD

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

K. Sonnen feld.

ASSISTANT SECRETARY AND COMMISSIONER

OF PATENTS AND TRADEMARKS Washington, D.C. 20231

PTAS



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/17/1998

REEL/FRAME: 9331/0418

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KAWAMURA, YUKIO

DOC DATE: 07/03/1998

ASSIGNOR:

MORITA, AKIHIRO

DOC DATE: 07/03/1998

ASSIGNOR:

IZUMO, KOJI

DOC DATE: 07/03/1998

ASSIGNOR:

SAKA, TOMOHIDE

DOC DATE: 07/03/1998

ASSIGNEE:

DIRECTOR OF NATIONAL FOOD RESEARCH. INSTITUTE

MINISTRY OF AGRICULTURE FORESTRY, AND FISHERIES

1-2, KANNONDAI 2-CHOME, TSUKUBA-SHI

IBARAKI-KEN, JAPAN

3

9331/0418 PAGE 2



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

ASSIGNEE:

MOMOYA CO., LTD. 16-2, KAKOGARA-CHO 2 CHOME, NIHONBASHI, CHUO-KU TOKYO-TO, JAPAN

SERIAL NUMBER: 09023731

PATENT NUMBER:

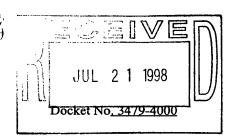
FILING DATE: 02/13/1998

ISSUE DATE:

MAYA BENNETT, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



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			THE CHILED BIA		TENT AND INADI	WARK OFFICE		
Appl	icant(s)	:	Yukio Kawamura e	al.				
Seria	l No.	:	09/023,731			Group Art Unit: 16	43	
Filed		:	February 13, 1998			Examiner:		
For		:	ANTITUMOR PRO	TEIN A	AND GENE ENCODI	NG SAME		
		RECC	RDATION FORM C	OVER	SHEET PURSUANT	TO 37 C.F.R. § 3.31		
BOX	Commissio ASSIGNM ington, D.C	IENTS	tents and Trademarks	3	•			
Sir:								
	Please rec	ord the a	ttached original docur	nents o	r copy thereof.			
1.	Name of o	conveying	g party/parties:					
	YUKIO K	AWAM	URA; AKIHIRO MO	RITA;	KOJI IZUMO AND T	OMOHIDE SAKA		_
2.	Name and	l address	of receiving party/par	ties:				
	Name _I	Director	of National Food Res	search	Institute			
	Internal A	ddress:	Ministry of Agricult	ure, Fo	restry, and Fisheries;			·
	Street Add	dress:	1-2, Kannondai 2-Ch	ome, Ts	sukuba-Shi,			
	City Ib	araki-Ke	n,	State	Japan	ZIP		- · · · · · · · · · · · · · · · · · · ·
	Name N	/Iomoya	Co., Ltd.				•	8
	Internal A	ddress:		· ·			3731	40.00
	Street Add	iress:	16-2, Kakigara-Cho 2	Chom	e, Nihonbashi, Chuo-k	u,	11 090	
	City To	kyo-To,		State	Japan	ZIP	0000151 09023731	
	[]	Addition	nal names and address	es attac	ched.			
3.	Name of c	onveyan	ce: [X] Assignmen	nt	[] Merger [] Security Agreement	S SSM)	
409165	_1						07/23/1998 SSNITH	01 FC:581

		[] Change of Name [] Other Execution Date: July 3, 1998			
4.	Application Number(s) or Patent Number(s):				
	[] This document is being filed together with a new application which was executed on				
	[] Patent Application No.(s)				
	[] P	atent No.(s)			
5.	Addre	ss all future communications to:			
		MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, New York 10154			
6.	Total number of applications and patents involved: One (1)				
7.	Total:	fee (37 CFR §3.41): \$40.00 property x1 property(ies) = <u>\$.40.00</u>			
	[X]	A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.			
	[]	Charge fee to Deposit Account No. 13-4500. Order No			
	[X]	The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500. Order No. 3479-4000.			
8.	To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.				
		Respectfully submitted,			
Dated	: <u>July</u>	MORGAN & FINNEGAN, L.L.P. By: Morgan & Finnegan, L.L.P. Kenneth H. Sonnenfeld Registration No. 33,285			
CORF	RESPO	NDENCE ADDRESS: Total number of pages including the recordation cover sheet <u>6</u>			
345 Pa New 1 (212) (212)	ark Ave York, N 758-48 751-68	deposited with the United States Postal Service as first class mail in an envelope addressed to: 49 Facsimile Commissioner of Patents and Trademarks, Washingto, D.C. 20231, on (1/1/1997)			
FORM: REC-PAT.NY Rev. 05/26/98 Legel H Sonsenform					

409165_1

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

Yukio Kawamura; 4-25-404-205, Matsushiro, Tsukuba-Shi, Ibaraki-Ken, Japan; Akihiro Morita; 110, Dai 2

Kasukabe Mansion, 2-10-8, Yahara, Kasukabe-Shi, Saitama-Ken, Japan; Koji Izumo; 303, Kasukabe Central

Copo, 7-1-15, Chuo, Kasukabe-Shi, Saitama-Ken, Japan; Tomohide Saka; 601, Noble Nomura, 2-23-4,

Matsushiro, Tsukuba-Shi, Ibaraki-Ken, Japan

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNORS), has made a discovery or invention entitled:

ANTITUMOR PROTEIN AND GENE ENCODING SAME

(title of discovery or invention)

- [] for which application for Letters Patent of the United States has been executed on even date herewith,
- [X] for which application for Letters Patent of the United States has been filed on <u>February 13, 1998</u>, under Serial No. <u>09/023,731</u>, and

WHEREAS:

Director of National Food Research Institute, Ministry of Agriculture, Forestry, and Fisheries; 1-2, Kannondai 2-chome, Tsukuba-Shi, Ibaraki-Ken, Japan; and Momoya Co., Ltd; 16-2, Kakigara-Cho 2-Chome, Ni honbashi, Chuo-ku, Tokyo-To, Japan

(name and address of assignees)

(hereinafter referred to as ASSIGNEES), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEES to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sells, assigns and transfers to ASSIGNEES, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNORS, hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEES, as assignees of the entire right, title and interest in, to and under the same, for the sole use and behoof of the ASSIGNEES, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

Docket No.	3479-4000	
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I, SAID, ASSIGNORS, hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNORS hereby further covenant and agree that the ASSIGNEES, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEES, its successors, legal representatives, or assigns, communicate to the ASSIGNEES, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEES, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEES, its successors, legal representatives, or assigns, I will, at any time, when called upon to do so by the ASSIGNEES its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEES, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEES, its successors, legal representatives and assigns.

Assignor's signature:	aluko		Lawamura		
	First name	Middle initial	Last name		
Citizenship: Japan		· · · · · · · · · · · · · · · · · · ·			
IN WITNESS WHEREO	F, I have hereunto se	t my hand and affixed my seal	this 3rd day of July,		
STATE OF)				
COUNTY OF	ss.:)				
known and known to me	to be the individual w ged to me that he exec	who is described in and who exe	nority, personally appeared to me excuted the foregoing Assignment, ntary act and deed for the uses and		
Notary Public					

		Docket N	o. <u>3479-4000</u>
Assignor's signature: _	Akihiro First name	Middle initial	Morita Last name
Citizenship: Japan		·	
IN WITNESS WHERE 19 <u>98</u> .	OF, I have hereunto set my	hand and affixed my seal t	his 3rd day of July
STATE OF)		
COUNTY OF	ss.:)		
me known and known	to me to be the individual wally acknowledged to me the	ho is described in and who	l authority, personally appeared to executed the foregoing iis own voluntary act and deed for
Notary Public	_		
Assignor's signature: _	Koji First name	Middle initial	Jumio Last name
Citizenship: Japan			·
IN WITNESS WHERE 19 <u>98</u> .	OF, I have hereunto set my	hand and affixed my seal th	nis 3rd day of July
STATE OF)		·
COUNTY OF	ss.:)		
known and known to m	e to be the individual who i dged to me that he executed	is described in and who exec	ity, personally appeared to me cuted the foregoing Assignment, tary act and deed for the uses and

- 3 -

369372_1

Notary Public

		Docket 1	No. <u>3479-4000</u>
Assignor's signature:	Tomohide First name	Middle initial	SAKA Last name
Citizenship: Japan	rust name	Middle initial	Last name
Omzonsmp			
IN WITNESS WHEREOF 19 <u>98</u> .	, I have hereunto set my	hand and affixed my seal	this 3rd day of July
STATE OF)		
COUNTY OF	ss.:)		
me known and known to n	ne to be the individual what acknowledged to me that	o is described in and who	ed authority, personally appeared to be executed the foregoing his own voluntary act and deed fo
Notary Public			
Assignor's signature:			
	First name	Middle initial	Last name
Citizenship: Japan			
IN WITNESS WHEREOF 19	, I have hereunto set my	hand and affixed my seal	this day of
STATE OF) ss.:		
COUNTY OF)		
known and known to me to	o be the individual who is	described in and who ex	ority, personally appeared to me ecuted the foregoing Assignment, intary act and deed for the uses and

FORM: ASSIGN.PAT Rev. 10/04/94

369372_1

Docket No. 3479-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Yukio Kawamura et al.

Serial No

09/023,731

Group Art Unit: 1643

Filed

February 13, 1998

Examiner:

For

ANTITUMOR PROTEIN AND GENE ENCODING SAME

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

HON. COMMISSIONER OF PATENTS AND TRADEMARKS BOX ASSIGNMENTS

Washington, D.C. 20231

Sir:

I hereby certify that the attached Recordation Form Cover Sheet; Assignment of Application

For Patent; Check for \$40.00.

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Hon. Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: July 17, 1998

Kenneth H. Sonnenfeld Registration No. 33,285

CORRESPONDENCE ADDRESS: MORGAN & FINNEGAN LLP 345 Park Avenue New York, New York 10154 (212) 758-4800 (212) 751-6849 Facsimile

FORM: CERT..NY Rev. 05/27/98 MORGAN & FINNEGAN, L.L.P. KENNETH H. SONNENFELD



03479-4000 USI Lombillo

MAY 31, 2002

345 PARK AVENUE NEW YORK, NY 10154 **PTAS**

2002 JUN -6 P 3: 29

Commissioner for Trademarks Arlington, VA 22202-3513 www.uspto.gov

DREAN & FINNEG



102047364A*

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/14/2002

REEL/FRAME: 012745/0402

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DIRECTOR OF NATIONAL FOOD RESEARCH

DOC DATE: 11/01/2001

INSTITUTE, MINISTRY OF AGRICULTURE, FORESTRY AND

FISHERIES

ASSIGNEE:

INDEPENDENT ADMINISTRATIVE CORPORATION FOOD RESEARCH INSTITUTE TSUKUBA SHI IBARAKI-KEN, JAPAN

SERIAL NUMBER: 09911969

FILING DATE: 07/24/2001

PATENT NUMBER:

ISSUE DATE:

SERIAL NUMBER: 09023731 PATENT NUMBER: 6291648

FILING DATE: 02/13/1998 ISSUE DATE: 09/18/2001

012745/0402 PAGE 2

JEEVON JONES, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS OFFICE OF PUBLIC RECORDS

EET

FINANCE SECTION SERVICE To The Honorable Commissioner of Patents and Trademarks:

Please record the attached original tocuments or copy thereof.				
 Name of conveying party(ies): Director of National Food Research Institute, Ministry of Agriculture, Forestry and Fisheries 	2. Name and address of receiving party(ies): Name: Independent Administrative Corporation Food Research Institute Address: Tsukuba shi Ibaraki-ken Japan			
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3. Nature of conveyance:	4. Patent Application number(s)			
Assignment	A. 09/911,969			
☐ Other	B. U.S. Patent No. 6,291,648			
Execution Date(s): November 1, 2001	·			
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DECLARATION

I, Yoshimi KOYANAGI declare and say:

that I am thoroughly conversant with both the Japanese and English languages;

that I am presently engaged as a translator in these languages; and,

that the attached document represents a true English translation of the certificate.

I further declare that all statements made herein of my own knowledge are true; and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: January 22, 2002

f UN FUMA Shimi Kayanagi

平成13年//月/日

独立行政法人食品総合研究所 理事長 鈴木建夫

独立行政法人通則法等の施行に伴う関係政令の整備及び経過措置に関する政令(平成12年政令第326号)第35条第1項第3号の規定に基づき、国から独立行政法人食品総合研究所へ承継する権利及び義務として、平成13年3月15日に農林水産大臣が指定した特許権、特許を受ける権利、実用新案権、実用新案権を受ける権利、商標権、商標登録出願により生じた権利は、すべて農林水産省食品総合研究所長に係るものであることに相違ありません。

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November 1, 2001

Independent Administrative Corporation
FOOD RESEARCH INSTITUTE
Takeo SUZUKI, Director
(Official Seal)

It is certified that patent rights, the right to obtain patents, utility model rights, the right to obtain utility model registrations, trademark rights, the rights derived from trademark applications designated on March 15, 2001 by the Minister of Agriculture, Forestry and Fisheries as the rights and obligations to be taken over from the Government to the Independent Administrative Corporation Food Research Institute on the basis of the provision of Article 35 Section 1(3) of Cabinet Order as to Adjustments and Transitional Measures of Related Cabinet Orders According To Enforcement of General Laws on Independent Administrative Corporation (Cabinet Order No. 2000-326) have been all owned by the Director of National Food Research Institute, Ministry of Agriculture, Forestry and Fisheries.

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